ONLINE SEMINAR · December 3, 2026

TURN ADVISORY

Focus on Investment Fraud via Social Media

Types, Red Flags, and Countermeasures for Financial Institutions

What Can You Expect?

- Current overview of investment fraud via social media and its relevance for regulated entities
- Schemes such as "*pump and dump*" and "*block/high-frequency trading at preferential prices*" via social media and messaging apps
- Red flags in "*pump and dump*" schemes and social media investment groups from the perspectives of practitioners and victims
- Concrete countermeasures: The Interplay of IT Security, Compliance, and the Front Office
- Threat intelligence, OSINT, and takedown processes against fake apps and domains
- The role of Meta, investigative authorities, and recent successes in law enforcement

Speakers



Matthias Schmidt

Divisional Board Member

flatexDEGIRO Group

Compliance, AML & Fraud Prevention · Certified Risk Manager (DVFA), Compliance Officer (univ.)



Naidira Alemova

Managing Partner

- *Regulatory Transformation, Compliance, AML & Sanctions*
- *Former partner at Deloitte & EY, SMD FTI Consulting*
- *Extensive international banking and consultancy experience*

Program

Focus on Investment Fraud via Social Media

TURN ADVISORY

1. Introduction & Situation Overview

Cybercrime in the Financial Sector: Background, Situation Overview, and Current Warnings (Bafin, State Criminal Police Offices, Press) · Economic losses in 2024 at an all-time high (approx. 179 billion euros) · Significant international involvement (including "*boiler rooms*")

2. Types of Investment Fraud

Perpetrators contact victims via social media and messaging apps, using fake profiles · "*Pump & Dump*" · "*Block trading/high-frequency trading at a preferential price*"

3. Red Flags & the Victim's Perspective

Typical red flags in "*pump and dump*" schemes and social media investment groups · Classification based on practical experience and feedback from victims

4. Countermeasures

Interplay between IT Security, Compliance, and the Front Office · Threat Intelligence, OSINT, and Takedown Processes

5. Mitigation Measures & Law Enforcement Agencies

Meta's Role: Investigation and Account/Website Deletions · Investigative Successes and Cross-Border Cooperation

6. Conclusion & Outlook

Summary of Key Findings and Outlook on Future Developments in Investment Fraud

Seminar Information

Focus on Investment Fraud via Social Media

TURN ADVISORY

Seminar Objective

Participants will learn to recognize typical social media fraud and investment scam patterns early on and will be able to evaluate red flags in a structured manner.

They will establish effective prevention and response measures within their own organizations—in collaboration with IT security, compliance, and the front office.

Key Facts

Investment fraud via social media has evolved into a highly dynamic threat to financial institutions and their customers. Perpetrators primarily use messaging and social media platforms, fake profiles, and investment groups to lure victims into fraudulent trading and payment processes.

The seminar provides a practical overview of the current situation, explains key typologies (particularly "*pump and dump*" and block trading), and outlines specific countermeasures—ranging from threat intelligence and OSINT to market surveillance and internal escalation and awareness processes.

Target Audience

Compliance/AFC/Fraud Management, IT Security/Threat Intelligence/SOC, Market Surveillance/Trading, Customer Service/Front Office, as well as risk and governance functions in the trading and payments sectors.

🎓 Participants will receive a certificate of completion afterward, which details the course content and serves as proof of participation in the qualification and training program.

TURN Advisory: Services & Expertise

Specialized consulting for non-financial risk, governance, compliance, AML/CFT, and sanctions—with a focus on the financial sector.

SERVICES & EXPERTISE

- Governance, Risk Management & Outsourcing
- Prevention of Money Laundering, Terrorist Financing & Proliferation
- Financial Sanctions & Embargoes FATCA & CRS
- Compliance
- Data Protection, DORA & NIS2
- Know Your Customer & Enhanced Due Diligence (EDD) Processes & Portfolio Data Updates
- Interim Management / Short-term coverage of key roles such as Head of Compliance, Anti-Money Laundering, etc.
- Leading or supporting transformation programs to address findings
- Forensics & Investigations

GLOBAL EXPERTISE ACROSS THE ENTIRE AFC VALUE CHAIN

Global Presence
Operating in many countries, with strategic partnerships worldwide.

Expert Community
AFC community comprising associated experts plus a nearshore team for run and change projects.

In-Depth Expertise
Broad industry knowledge, including experience from anti-money laundering officers and auditors.

Comprehensive Services
Strategy & Transformation, Audits, Implementation, Data Management, AFC Technology.

INDUSTRIES — Banking & Capital Markets · FinTech & Payments · Insurance · Private Equity · Real Estate

Upcoming Online Events

December 9, 2026	Practical Seminar on Financial Sanctions for Advanced Practitioners (language: English)
December 10, 2026	Circumvention of AML/CTF and Sanctions Regimes (language: German)

TURN Advisory Events

Contact Us

Phone
+49 151 7200 8276
+49 172 683 9114
Email
info@turn-advisory.com
Address
Turn Advisory GmbH
Karpfenweg 2, 60327 Frankfurt
Website
www.turn-advisory.com

➔ **Register now: event@turn-advisory.com · www.turn-advisory.com**

10:00 a.m. – 4:00 p.m. · 795 EUR plus 19% VAT · includes a training certificate · Terms and Conditions: www.turn-advisory.com

Workshops & custom in-house events available upon request

TURN Advisory

Who We Are, What We Do, and What Makes Us Special

Specialized consulting for non-financial risk, governance, compliance, AML/CFT, and sanctions—with a focus on the financial sector.



Tommas Kaplan

Managing Partner

- Founder of TURN Advisory GmbH
- Former CCO & Member of the Executive Board at GWB, von Poll Immobilien GmbH
- Retired Detective



Dr. Ulrich L. Göres

Managing Partner

- Attorney | Founder of the law firm Dr. Göres & Founder of TURN Advisory GmbH
- Former Group Money Laundering Reporting Officer (MLRO) at Deutsche Bank AG and HSBC
- Former CCO/MLRO, Group General Counsel & Group Security Officer, Erste Group AG
- Former Deputy CCO at Commerzbank AG, Regional Head for the Americas



Naidira Alemova

Partner

- Regulatory Transformation, Compliance, AML & Sanctions
- Former Partner at Deloitte & EY, SMD FTI Consulting
- Extensive international banking and consulting experience



Sebastian Göbel

Director

- 15+ years of banking experience at SWK Bank, Deutsche Bank, and Raisin Bank
- Management of international projects and implementation of new technologies
- Digital Transformation, Regulatory Projects & Program Management

The network is supported by Associated Experts—Learn more at: turn-advisory.com/associated-experts